AMENDATORY SECTION (Amending WSR 05-11-082, filed 5/17/05, effective 6/17/05)

WAC 388-71-0500 What is the purpose of WAC 388-71-0500 through (([388-71-05952] [388-71-05909])) 388-71-0562 and 388-71-0836 through 388-71-1006? ((A client/legal representative may choose an individual provider or a home care agency provider.)) The ((intent)) purpose of WAC 388-71-0500 through (([388-71-05952] [388-71-05909])) 388-71-0562 and WAC 388-71-0836 through 388-71-1006 is to describe the:

- (1) Qualifications of an individual provider, as defined in WAC 388-106-0010;
- (2) Qualifications of a <u>long-term care worker employed by a</u> home care agency ((provider)), as defined in WAC 388-106-0010 and chapter 246-336 WAC;
- (3) Conditions under which the department or the area agency on aging (AAA) will pay for the services of an individual provider or a home care agency ((provider)) long-term care worker;
- (4) Training requirements for an individual provider and home care agency ((provider)) long-term care worker.

A client, as described in WAC 388-71-0836 eligible to receive long-term care services, or his/her legal representative on the client's behalf, may choose to receive personal care services in the client's home from an individual provider or a long-term care worker from a home care agency. If the client chooses to receive services from a home care agency, the agency will assign a long-term care worker employed by the agency to provide services to the client. Individual providers and home care agency long-term care workers are "long-term care workers" as defined in RCW 74.39A.009 and are subject to background checks under RCW 74.39A.055 and 43.20.710.

AMENDATORY SECTION (Amending WSR 01-11-019, filed 5/4/01, effective 6/4/01)

WAC 388-71-0505 How does a client hire an individual provider? The client, or legal representative:

- (1) Has the primary responsibility for locating, screening, hiring, supervising, and terminating an individual provider;
- (2) Establishes an employer/employee relationship with the individual provider; and
- (3) May receive assistance from the social worker/case manager or other resources in this process.

AMENDATORY SECTION (Amending WSR 04-16-029, filed 7/26/04, effective 8/26/04)

- WAC 388-71-0510 How does a person become an individual provider? In order to become an individual provider, a person must:
 - (1) Be eighteen years of age or older;
 - (2) Provide the social worker/case manager/designee with:
- (a) <u>A valid Washington state driver's license or other valid</u> picture identification; and either
 - (b) A Social Security card; or
- (c) <u>Proof of authorization</u> to work in the United States <u>as</u> required on the employment verification form.
- (3) ((Complete and submit to the social worker/case manager/designee)) Prior to January 1, 2012, be screened through the department's ((criminal conviction)) background ((inquiry application, unless the provider is also the parent of the adult DDD client and exempted, per chapter 74.15 RCW;)) check process:
- (a) Preliminary results may require a thumb print for identification purposes;
- (b) ((An FBI)) \underline{A} fingerprint-based background check is required if:
- (i) The person has lived in the state of Washington less than three <u>consecutive</u> years <u>immediately before the date of the background check; or</u>
- (ii) The department has reasonable cause to believe the person has a conviction, pending charges, and/or negative actions in another state.
- (4) Effective January 1, 2012, be screened through the department's fingerprint-based background check, as required by RCW 74.39A.055. As provided in RCW 43.20A.710, results of the background check are provided to the department and employer for the purpose of determining:
- (a) Whether the person is disqualified based on a disqualifying crime or negative action; or
- (b) Whether the person should or should not be employed as an individual provider based on his or her character, competence, and/or suitability.
- (c) Disqualifying crimes and negative actions are those listed in WAC 388-71-0540(5) and (6).
- (5) Sign a home and community-based service provider contract/agreement to provide services to a COPES, MNIW, PACE, WMIP, or medicaid personal care client, or sign a contract as an individual provider to provide services to a New Freedom waiver, WMIP, or PACE client under chapter 388-106 WAC.

NEW SECTION

WAC 388-71-0512 What is included in the department's fingerprint-based background check? The department's fingerprint-based background check includes a check of:

(1) Records contained in databases maintained by the

Washington state patrol and the Federal Bureau of Investigation, including records of:

- (a) Pending charges; and
- (b) criminal conviction.
- (2) Records maintained:
- (a) In the national sex offenders registry;
- (b) By the Washington state department of corrections;
- (c) By Washington courts; and
- (d) In the justice information system.
- (3) Records of negative actions, final findings, or civil adjudication proceedings of any agency or subagency including, but not limited to:
 - (a) DSHS adult protective services;
 - (b) DSHS residential care services;
 - (c) DSHS children's protective services;
 - (d) The Washington state department of health;
 - (e) The nursing assistant registry; and
- (f) Any pending charge, criminal conviction, civil adjudicative proceeding and/or negative action disclosed by the applicant.
- (4) Any "civil adjudication proceeding", which is a judicial or administrative adjudicative proceeding that results in a finding of, or upholds any agency finding of, domestic violence, abuse, sexual abuse, exploitation, financial exploitation, neglect, abandonment, violation of a child or vulnerable adult under any provision of law, including but not limited to chapters 13.34, 26.44, or 74.34 RCW or rules adopted under chapters 18.51 and 74.42 RCW. "Civil adjudication proceeding" also includes judicial or administrative findings that become final due to the failure of the alleged perpetrator to timely exercise a legal right to administratively challenge such findings.
- (5) Negative actions which include the denial, suspension, revocation, or termination of a license, certification, or contract for the care of children, as defined in RCW 26.44.020, or vulnerable adults, as defined in RCW 74.34.020, for noncompliance with any state or federal regulation.
- (6) Except as prohibited by federal law, results are shared with the employer or prospective employer and with the department of health as authorized.

AMENDATORY SECTION (Amending WSR 01-11-019, filed 5/4/01, effective 6/4/01)

- WAC 388-71-0513 Is a background check required of a <u>long-term</u> care worker employed by a home care agency ((provider))? In order to be a <u>long-term</u> care worker employed by a home care agency ((provider)), a person who works for a home care agency that has a <u>contract</u> with the <u>department</u> must ((complete)):
- (1) Prior to January 1, 2012, be screened through the department's ((criminal conviction)) background ((inquiry application, which is submitted by the agency to the department. This includes an FBI fingerprint-based background check if the home

care agency provider has lived in the state of Washington less than three years)) check process:

- (a) Preliminary results may require a thumb print for identification purposes; and
- (b) A fingerprint-based background check is required if the long-term care worker employed by a home care agency has lived in the state of Washington for less than three consecutive years immediately before the date of the background check.
- (2) Effective January 1, 2012, be screened through the department's fingerprint-based background check, as required by RCW 74.39A.055. As provided by RCW 43.20A.710, results are provided to the department and home care agency for the purpose of determining:
- (a) Whether the person is disqualified from being a home care agency long-term care worker based on a disqualifying crime, civil adjudication proceeding, or negative action as defined under WAC 388-71-0512; and
- (b) Whether the person should or should not be employed as a home care agency long-term care worker based on his or her character, competence, and/or suitability. Except as prohibited by federal law, results are shared with the employer or prospective employer and the department of health for purposes of making this determination.
- (3) Disqualifying crimes, civil adjudicative proceedings, and negative actions are listed in WAC 388-71-0540.
- (4) Effective January 1, 2012, all home care agencies, including those that do not have a contract to provide in-home care services to department clients, must initiate the required background check upon the date of the long-term care worker's hire.
- (5) The required background check on long-term care workers employed by home care agencies will be performed at department expense; home care agencies are not responsible for payment for the required background check.

NEW SECTION

WAC 388-71-0514 Can an individual provider or home care agency long-term care worker work pending the outcome of the fingerprint-based background check? An individual provider or home care agency long-term care worker may work up to one hundred twenty days pending the outcome of the fingerprint-based background check provided that the person is not disqualified as a result of the department's background check.

AMENDATORY SECTION (Amending WSR 10-06-112, filed 3/3/10, effective 4/3/10)

WAC 388-71-0515 What are the responsibilities of an individual provider ((or home care agency provider)) when

((employed to provide)) providing care to a client? An individual provider ((or home care agency provider)) must:

- (1) Understand the client's plan of care that is signed by the client or legal representative ((and social worker/case manager)), and which may be translated or interpreted, as necessary, for the client and the provider;
- (2) Provide the services as outlined on the client's plan of care, as ((defined)) described in WAC 388-106-0010;
- (3) Accommodate <u>the</u> client's individual preferences and ((differences)) <u>unique needs</u> in providing care;
- (4) Contact the ((client's)) client, client's representative and case manager when there are changes ((which)) that affect the personal care and other tasks listed on the plan of care;
- (5) Observe ((the client for)) and consult with the client or representative, regarding change(s) in health, take appropriate action, and respond to emergencies;
- (6) Notify the case manager immediately when the client enters a hospital, or moves to another setting;
- (7) Notify the case manager immediately ((if)) in the event of the ((client dies)) client's death;
- (8) Notify the department or AAA immediately when unable to staff/serve the client; and
- (9) Notify the department/AAA when the individual provider ((or home care agency)) will no longer provide services. ((Notification to the client/legal guardian)) The individual provider must:
 - (a) Give at least two weeks' notice, and
 - (b) ((Be)) Notify the client or legal quardian in writing.
- (10) Complete and keep accurate time sheets that are accessible to the social worker/case manager; and
 - (11) Comply with all applicable laws and regulations.
- ((12) A home care agency must not bill the department for in-home medicaid funded personal care or DDD respite services when the agency employee providing care is a family member of the client served, unless approved to do so through an exception to rule under WAC 388-440-0001. For purposes of this section, family member means related by blood, marriage, adoption, or registered domestic partnership.))

NEW SECTION

WAC 388-71-0516 What are the responsibilities of home care agency when providing care to a client? In providing care to a client, a home care agency must:

- (1) Ensure that the assigned home care agency long-term care worker(s) understands the client's plan of care that is signed by the client or legal representative, and which may be translated or interpreted, as necessary, for the client and the assigned home care agency long-term care worker(s);
- (2) Provide services as outlined in a client's plan of care, as described in WAC 388-106-0010;
 - (3) Accommodate the client's individual preferences and unique

needs in providing care;

- (4) Contact the client, client's representative and case manager when there are changes observed by the assigned home care agency long-term care worker that affect the personal care and other tasks listed on the plan of care;
- (5) Ensure that the assigned home care agency long-term care worker(s) observes the client for and consults with the client or representative, regarding change(s) in health, takes appropriate action, and responds to emergencies;
- (6) Notify the case manager immediately when the client enters a hospital, or moves to another setting;
- (7) Notify the case manager immediately in the event of the client's death;
- (8) Notify the department or AAA immediately when unable to staff/serve the client;
- (9) Notify the department/AAA when the home care agency will no longer provide services. The home care agency must:
 - (a) Give at least two weeks' notice; and
 - (b) Notify the client or legal quardian in writing.
- (10) Complete and keep accurate time sheets that are accessible to the social worker/case manager; and
 - (11) Comply with all applicable laws and regulations.

NEW SECTION

WAC 388-71-0517 What are the responsibilities of a home care agency when the home care agency long-term care worker is a family member of the client and the client is receiving in-home medicaid-funded personal care or DDD respite services? A home care agency must not bill the department for in-home medicaid-funded personal care or DDD respite services when the agency employee providing care is a family member of the client served, unless approved to do so through an exception to rule under WAC 388-440-0001. For purposes of this section, family member means related by blood, marriage, adoption, or registered domestic partnership.

AMENDATORY SECTION (Amending WSR 09-03-066, filed 1/14/09, effective 2/14/09)

WAC 388-71-0520 ((Are there)) What are the training requirements for an individual provider or a home care agency ((provider of an adult client)) long-term care worker? An individual provider or a home care agency ((provider for an adult client)) long-term care worker must meet the training requirements ((in)) under WAC ((388-71-05665)) 388-71-0836 through ((388-71-05865) and WAC 388-71-0801 through 388-71-0826)) 388-71-1006.

AMENDATORY SECTION (Amending WSR 10-06-112, filed 3/3/10, effective 4/3/10)

- WAC 388-71-0540 When will the department, AAA, or department designee deny payment for services of an individual provider or home care agency ((provider)) long-term care worker? The department, AAA, or department designee will deny payment for the services of an individual provider or home care agency ((provider if)) long-term care worker:
- (1) When the services are provided by ((an employee of the)) a home care agency ((who is)) employee that is a family member, as described under RCW 74.39A.326, of the client, including individuals related by blood, marriage, adoption, or registered domestic partnership to the ((client.

The department, AAA, or department designee will deny payment for the services of an individual provider or home care agency provider who:

- (1)) client, except in circumstances described in RCW 74.39A.326(1)(b);
- (2) Who is the client's spouse((, per)) in accordance with 42 C.F.R. 441.360(g), except in the case of an individual provider for a chore services client. Note: For chore spousal providers, the department pays a rate not to exceed the amount of a one-person standard for a ((continuing general assistance)) disability lifeline grant, per WAC 388-478-0030;
- $((\frac{2}{2}))$ <u>(3) Who is</u> the natural/step/adoptive parent of a minor client aged seventeen or younger receiving services under medicaid personal care;
- $((\frac{3}{3}))$ (4) Who is a foster parent providing personal care to a child residing in $(\frac{\text{their}}{\text{the foster parent's}}$ licensed foster home;
- (((4) Has been convicted of a disqualifying crime, under RCW 43.43.830 and 43.43.842 or of a crime relating to drugs as defined in RCW 43.43.830;))
- (5) With any of the pending or disqualifying convictions, history, or findings, described below:
- (a) A history of noncompliance with federal or state laws or regulations in the provision of care or services to children or vulnerable adults;
- (b) A conviction for a crime in federal court or in any other state, and the department determines that the crime is equivalent to a crime under subsections (5)(c) through (g) of this section;
- (c) A conviction for a "crime against children or other persons" as described under RCW 43.43.830, unless the crime is simple assault, assault in the fourth degree, or prostitution and more than three years has passed since conviction;
- (d) A conviction for "crimes related to financial exploitation" as described under RCW 43.43.830, unless the crime is theft in the third degree and more than three years have passed since conviction, or unless the crime was forgery or theft in the second degree and more than five years have passed since

conviction;

- (e) Has been convicted of the manufacture, delivery, or possession with intent to manufacture or deliver drugs under one of the following laws:
- (i) Violation of the imitation controlled substances act (VISCA);
- (ii) Violation of the uniform controlled substances act (VUCSA);
 - (iii) Violation of the uniform legend drug act (VULDA); or
 - (iv) Violation of the uniform precursor drug act (VUPDA).
- (f) Has been convicted of sending or bringing into the state depictions of a minor engaged in sexually explicit conduct;
 - (q) Has been convicted of criminal mistreatment;
- (h) Has been found to have abused, neglected, abandoned, or financially exploited a minor or vulnerable adult by court of law or a disciplining authority, ((as defined in)) including the department of health. Examples of legal proceedings in which such findings could be made include juvenile court proceedings under chapter 13.34 RCW, domestic relations proceedings under title 26 RCW, and vulnerable adult protection proceedings under chapter 74.34 RCW;
- (i) Has a finding of abuse or neglect of a child, per RCW 26.44.020 and chapter 388-15 WAC that is:
- (i) Listed on the department's background check central unit (BCCU) report; or
- (ii) Disclosed by the individual, except for findings made before December, 1998. Findings made before December, 1998, require a character, competence and suitability determination.
- (j) Has a finding of abuse, neglect, financial exploitation, or abandonment of a vulnerable adult that is:
- (i) Listed on any registry, including the department's registry;
- (ii) Listed on the department's background check central unit (BCCU) report; or
- (iii) Disclosed by the individual, except for adult protective services findings made before October, 2003. Findings made before October, 2003, require a character, competence, and suitability determination.
- (6) Has had a ((license, certification, or a contract for the care of children or vulnerable adults denied, suspended, revoked, or terminated for noncompliance with state and/or federal regulations)) medicaid or medicare provider agreement or any other contract for the care and treatment of children or vulnerable adults terminated, cancelled, suspended, revoked, or not renewed by any public agency, including a state medicaid agency;
- (7) Who does not successfully complete ((the)) applicable training requirements, within ((the time limits required in WAC 388-71-05665 through 388-71-05865;)) one hundred and twenty days of hire or the begin date of authorization or within the timeframes described in WAC 388-71-0875, 388-71-0880, 388-71-0890, and 388-71-0991. If an individual provider or long-term care worker employed by a home care agency does not complete required training within the required timeframe:
- (a) If certification is not required as described in WAC 246-980-070, then the long-term care worker may not provide care until the training is completed.

- (b) If home care aide certification is required, then the long-term care worker may not provide care until the certification has been granted.
- (8) ((Is already meeting)) <u>Who does not successfully complete</u> the certification or recertification requirements as described <u>under WAC 388-71-0975;</u>
- (9) Who has had a home care aide certification denied, suspended, or revoked and is not eligible to work until his or her certification has been reissued;
- (10) When the client's needs <u>are already being met</u> on an informal basis, and the client's assessment or reassessment does not identify any unmet need; and/or
- $((\frac{9}))$ <u>(11)</u> Who is terminated by the client (in the case of an individual provider) or by the home care agency (in the case of $(\frac{1}{2})$ a home care agency $(\frac{1}{2})$ long-term care worker).
- (12) In addition, the department, AAA, or department designee may deny payment to or terminate the contract of an individual provider as provided under WAC 388-71-0543, 388-71-0546, and 388-71-0551((, and 388-71-0556)).

WAC 388-71-0543 When may the department, AAA, or department designee deny payment for the services of an individual provider? The department, AAA, or department designee may deny payment for the services of an individual provider:

- (1) Who has been convicted of:
- (a) Simple assault, theft in third degree, assault in the fourth degree, or prostitution and more than three years has passed since conviction;
- (b) Forgery or theft in the second degree and more than five years has passed since conviction;
- (c) Any conviction that the department determines is reasonably related to the competency of the person to provide care to a client; or
- (d) A crime involving a firearm used in commission of a felony or in any act of violence against a person.
- (2) Has engaged in the illegal use of drugs, or excessive use of alcohol or drugs without the evidence of rehabilitation;
- (3) Has committed an act of domestic violence toward a family or household member;
- (4) Has been found in any final decision of a federal or state agency to have abandoned, neglected, abused or financially exploited a vulnerable adult, unless such decision requires a denial of payment under this chapter;
- (5) Has had a license for the care of children or vulnerable adults denied, suspended, revoked, terminated, or not renewed;
- (6) Has had any health care provider license, certification or contract denied, suspended, revoked, terminated, even though the license was later reinstated after satisfactory completion of conditions or other requirements. This provision also applies to a long-term care worker who voluntarily relinquished a license,

certification or contract in lieu of revocation or termination;

- (7) Has had any residential care facility or health care facility license, certification, contract denied, suspended, revoked, terminated, even though the license, certification or contract was later reinstated after satisfactory completion of conditions or other requirements. This provision also applies to a long-term care worker who voluntarily relinquished a license, certification or contract in lieu of revocation or termination;
- (8) Has been enjoined from operating a facility for the care and services of children or adults;
- (9) Has been the subject of a sanction or corrective or remedial action taken by federal, state, county, or municipal officials or safety officials related to the care or treatment of children or vulnerable adults;
- (10) Has obtained or attempted to obtain a license, certification or contract by fraudulent means or misrepresentation;
- (11) Knowingly, or with reason to know, made a false statement of material fact on his or her application for a license, certification, contract or any data attached to the application, or in any matter involving the department;
- (12) Willfully prevented or interfered with or failed to cooperate with any inspection, investigation, or monitoring visit made by the department, including refusal to permit authorized department representatives to interview clients or have access to their records.

NEW SECTION

WAC 388-71-0544 When may the department, AAA, or department designee deny payment to a home care agency for the services of a long-term care worker that it employs? The department, AAA, or department designee may deny payment to a home care agency for services provided to a department client by a home care agency long-term care worker that it employs:

- (1) Who has been convicted of:
- (a) Simple assault, theft in third degree, assault in the fourth degree, or prostitution and more than three years has passed since conviction;
- (b) Forgery or theft in the second degree and more than five years has passed since conviction;
- (c) Any conviction that the department determines is reasonably related to the competency of the person to provide care to a client; or
- (d) A crime involving a firearm used in commission of a felony or in any act of violence against a person.
- (2) Has engaged in the illegal use of drugs, or excessive use of alcohol or drugs without the evidence of rehabilitation;
- (3) Has committed an act of domestic violence toward a family or household member:
- (4) Has been found in any final decision of a federal or state agency to have abandoned, neglected, abused or financially exploited a vulnerable adult, unless such decision requires a

denial of payment under this chapter;

- (5) Has had a license for the care of children or vulnerable adults denied, suspended, revoked, terminated, or not renewed;
- (6) Has had any health care provider license, certification or contract denied, suspended, revoked, terminated, even though the license was later reinstated after satisfactory completion of conditions or other requirements. This provision also applies to a long-term care worker who voluntarily relinquished a license, certification or contract in lieu of revocation or termination;
- (7) Has had any residential care facility or health care facility license, certification, contract denied, suspended, revoked, terminated, even though the license, certification or contract was later reinstated after satisfactory completion of conditions or other requirements. This provision also applies to a long-term care worker who voluntarily relinquished a license, certification or contract in lieu of revocation or termination;
- (8) Has been enjoined from operating a facility for the care and services of children or adults;
- (9) Has been the subject of a sanction or corrective or remedial action taken by federal, state, county, or municipal officials or safety officials related to the care or treatment of children or vulnerable adults;
- (10) Has obtained or attempted to obtain a license, certification or contract by fraudulent means or misrepresentation;
- (11) Knowingly, or with reason to know, made a false statement of material fact on his or her application for a license, certification, contract or any data attached to the application, or in any matter involving the department;
- (12) Willfully prevented or interfered with or failed to cooperate with any inspection, investigation, or monitoring visit made by the department, including refusal to permit authorized department representatives to interview clients or have access to their records.

AMENDATORY SECTION (Amending WSR 06-05-022, filed 2/6/06, effective 3/9/06)

WAC 388-71-0546 When can the department, AAA, or ((managed care entity)) department designee reject ((the client's)) your choice of an individual provider? The department, AAA, or ((managed care entity)) department designee may reject ((a client's)) your request to have a family member or other person serve as ((his or her)) your individual provider if the case manager has a reasonable, good faith belief that the person is or will be unable to appropriately meet ((the client's)) your needs. Examples of circumstances indicating an inability to meet ((the client's)) your needs ((could)) include, ((without limitation)) but are not limited to:

- (1) Evidence of alcohol or drug abuse;
- (2) A reported history of domestic violence <u>committed by the individual provider</u>, no-contact orders <u>entered against the individual provider</u>, or criminal conduct <u>committed by the</u>

- individual provider (whether or not the conduct is disqualifying
 under ((RCW 43.43.830 and 43.43.842)) WAC 388-71-0540);
- (3) A report from ((the client's health care provider or other)) any knowledgeable person that the ((requested)) individual provider lacks the ability or willingness to provide adequate care;
- (4) The individual provider has other employment or responsibilities that prevent or interfere with the provision of required services;
- (5) Excessive commuting distance that would make it impractical <u>for the individual provider</u> to provide services as they are needed and outlined in ((the client's)) your service plan.

AMENDATORY SECTION (Amending WSR 06-05-022, filed 2/6/06, effective 3/9/06)

- WAC 388-71-0551 When can the department, AAA, or ((managed care entity)) department designee terminate or summarily suspend an individual provider's contract? The department, AAA, or ((managed care entity)) department designee may take action to terminate an individual provider's home and community-based service provider contract/agreement to provide services to a COPES, MNIW, or medicaid personal care client, or terminate a contract to an individual provider to provide services to a New Freedom waiver, WMIP, or PACE client under chapter 388-106 WAC if the provider's:
 - (1) Home care aide certification has been revoked; or
- (2) Inadequate performance or inability to deliver quality care is jeopardizing the client's health, safety, or well-being.
- (3) The department, AAA, or ((managed care entity)) department designee may summarily suspend the contract pending a hearing based on a reasonable, good faith belief that the client's health, safety, or well-being is in imminent jeopardy. Examples of circumstances indicating jeopardy to the client ((could)) include, ((without limitation)) but are not limited to:
- $((\frac{1}{1}))$ (a) The individual provider has committed domestic violence or abuse, neglect, abandonment, or exploitation of a $((\frac{1}{1}))$ child, as defined in RCW 26.44.020 or a vulnerable adult, as defined in RCW 74.34.020;
- $((\frac{(2) \text{ Using or being}}))$ (b) The individual provider uses or is under the influence of alcohol or illegal drugs during working hours;
- (((3))) <u>(c) The individual provider engages in o</u>ther behavior directed toward the client or other persons involved in the client's life that places the client at risk of harm;
- $((\frac{4}{}))$ <u>(d)</u> A report from the client's health care provider that the client's health is negatively affected by inadequate care <u>being provided</u> by the individual provider;
- $((\frac{5}{1}))$ <u>(e)</u> A complaint from the client or client's representative that the client is not receiving adequate care <u>from the individual provider</u>;
- (((6))) <u>(f)</u> The ((absence of)) <u>individual provider's failure</u> <u>to engage in</u> essential interventions identified in the service plan, such as medications or medical supplies; and/or

 $((\frac{7}{}))$ <u>(g) The individual provider's failure</u> to respond appropriately to emergencies.

AMENDATORY SECTION (Amending 01-11-019, filed 5/4/01, effective 6/4/01)

- WAC 388-71-0560 What are the client's rights if the department denies, terminates, or summarily suspends an individual provider's contract? (1) If the department denies, terminates, or summarily suspends the individual provider's contract, the client has the right to:
- $((\frac{1)}{A} + \frac{1}{A} + \frac$
- $((\frac{(2)}{(2)}))$ (b) Receive services from another currently contracted individual provider or home care agency $(\frac{(provider)}{(provider)})$ long-term care worker, or $(\frac{(other\ options)}{(provider)})$ to receive services through other programs the client is eligible for $(\frac{(qrovider)}{(qrovider)})$.
- $((\frac{3}{3}))$ <u>(2)</u> The hearing rights $(\frac{afforded}{afforded})$ provided under this section are those of the client, not the individual provider rights.

NEW SECTION

- WAC 388-71-0561 When does an individual provider have the right to an administrative hearing? (1) An individual provider has the right to an administrative hearing when the department denies payment to the individual provider because:
- (a) He or she has not been certified by the department of health as a home care aide within the required timeframe; or
- (b) If exempted from certification, he or she has not completed required training within the required timeframe.
- (2) An individual provider has the right to an administrative hearing when the department terminates the individual provider's contract, or takes other enforcement measures against the individual provider because:
- (a) He or she has not completed required training within the required timeframe.
- (b) His or her certification as a home care aide has been revoked by the department of health.
- (3) In an administrative hearing challenging DSHS action to deny payment to an individual provider or to terminate the contract of an individual provider, the individual provider may not challenge the action by the department of health affecting the individual provider's certification. Action by the department of health affecting the individual provider's certification must be challenged in a department of health hearing, as provided in department of health rules.

- WAC 388-71-0562 When does a medicaid contracted home care agency have the right to an administrative hearing? (1) A medicaid contracted home care agency has the right to an administrative hearing when the department terminates its contract or takes other enforcement action related to its contract because the home care agency:
- (a) Knowingly employs a long-term care worker who has not completed training within the required timeframe.
- (b) Knowingly employs a long-term care worker who does not meet the certification requirements or whose certification has been revoked by the department of health.
- (2) In an administrative hearing challenging DSHS action to terminate the contract or challenge some other enforcement against its contract, a medicaid contracted home care agency may not challenge the action by the department of health affecting the home care aide certification of a long-term care worker employed by the home care agency. Action by the department of health affecting the long-term care worker's certification must be challenged in a department of health hearing, as provided in department of health rules.

NEW SECTION

WAC 388-71-0836 What definitions apply to the long-term care worker training requirements? "Care team" includes the client and everyone involved in his or her care. The care team can include family, friends, doctors, nurses, long-term care workers, social workers and case managers. The role of the care team is to support the well-being of the client, however, the client directs the care plan.

"Certified home care aide" means a long-term care worker who has obtained and maintains a home care aide certification through the department of health.

"Challenge test" means a challenge test taken for specialty training, without first taking the class for which the test is designed and can only be used when basic training is not required.

"Client" means an individual receiving in-home services.

"Competency" defines the integrated knowledge, skills, or behavior expected of a long-term care worker after completing training in a required topic area. Learning objectives are associated with each competency.

"Competency testing" is evaluating a trainee to determine if he or she can demonstrate the required level of skill, knowledge, and/or behavior with respect to the identified learning objectives of a particular course. The department only requires competency testing for nurse delegation core and specialized diabetes training and the specialty trainings. Training programs may integrate competency testing within their approved curriculums.

"DDD" refers to the division of developmental disabilities.

"Department" or "DSHS" refers to the department of social and health services.

"Direct care worker" means a paid individual who provides direct, hands-on, personal care services to persons with disabilities or the elderly requiring long-term care.

"Functionally disabled person" or "person who is functionally disabled" is synonymous with chronic functionally disabled and means a person who because of a recognized chronic physical or mental condition or disease, or developmental disability, including chemical dependency, is impaired to the extent of being dependent upon others for direct care, support, supervision, or monitoring to perform activities of daily living. "Activities of daily living", in this context, means self-care abilities related to personal care such as bathing, eating, using the toilet, dressing, and transfer. Instrumental activities of daily living may also be used to assess a person's functional abilities as they are related to the mental capacity to perform activities in the home and the community such as cooking, shopping, house cleaning, doing laundry, working, and managing personal finances.

"Guardian" means an individual as defined in chapter 11.88 RCW.

"Individual provider" means a person who has contracted with the department to provide personal care or respite care services to persons with functional disabilities under medicaid personal care, community options program entry system (COPES), chore services, or respite care program, or to provide respite care or residential services and supports to person with developmental disabilities under chapter 71A.12 RCW or to provide respite care as defined in RCW 74.13.270.

"Learning objectives" are measurable, written statements that clearly describe what a long-term care worker must minimally learn to meet each competency. Learning objectives are identified for each competency. Learning objectives provide consistent, common language and a framework for curriculum designers, the curriculum approval process, and testing. Curriculum developers have the flexibility to determine how learning objectives are met and may include additional content deemed necessary to best meet the competency in a particular setting.

"Long-term care worker" includes all persons providing paid, hands-on, personal care services for the elderly or persons with disabilities, including individual providers of home care services, direct care employees of home care agencies, providers of home care services to persons with developmental disabilities under Title 71 RCW, all direct care workers in state-licensed boarding homes, adult family homes, respite care providers, community residential service providers, and any other direct care staff providing home or community-based services to the elderly or persons with functional disabilities or developmental disabilities, and supported living providers.

The following persons are not long-term care workers:

- (1) Persons who are:
- (a) Providing personal care services to individuals who are

not receiving state-funded services; and

- (b) The person is not employed by an agency or facility that is licensed by the state.
 - (2) Persons employed by:
 - (a) Nursing homes licensed under chapter 18.51 RCW;
 - (b) Facilities certified under 42 CFR Part 483;
 - (c) Residential habilitation centers under chapter 71A.20 RCW;
 - (d) Hospitals or other acute care settings;
 - (e) Hospice agencies licensed under chapter 70.127 RCW;
 - (f) Adult day care centers or adult day health centers.
- (3) Persons whose services are exclusively limited to assistance with "instrumental activities of daily living," as that term is defined in WAC 388-106-0010.

"Personal care services" means physical or verbal assistance with activities of daily living, or activities of daily living and instrumental activities of daily living which is, provided because a person is a functionally disabled person as defined in this chapter.

<u>"Training entity"</u> means an organization, including an independent contractor, who is providing or may provide training under this section using approved curriculum. Training entities may only deliver approved curriculum.

"Training partnership" means a joint partnership or trust that includes the office of the governor and the exclusive bargaining representative of individual providers under RCW 74.39A.270 with the capacity to provide training, peer mentoring, and workforce development, or other services to individual providers.

ORIENTATION AND SAFETY TRAINING

NEW SECTION

WAC 388-71-0841 What is orientation? (1) Orientation is a training of two hours regarding the long-term care worker's role as long-term care workers and the applicable terms of employment.

- (2) The department must approve orientation curricula and instructors.
 - (3) There is no challenge test for orientation.

NEW SECTION

WAC 388-71-0846 What content must be included in orientation? Orientation must include introductory information in the following areas:

- (1) The care setting and the characteristics and special needs of the population served or to be served;
 - (2) Basic job responsibilities and performance expectations;
 - (3) The care plan, including what it is and how to use it;
 - (4) The care team;
- (5) Process, policies, and procedures for observation, documentation and reporting;
- (6) Client rights protected by law, including the right to confidentiality and the right to participate in care decisions or to refuse care and how the long-term care worker will protect and promote these rights;
 - (7) Mandatory reporter law and worker responsibilities; and
- (8) Communication methods and techniques that can be used during the first weeks working with a client or guardian, and other care team members.

One hour of completed classroom instruction or other form of training (such as video or on-line course) equals one hour of training. The training entity must establish a way for the long-term care worker to ask the instructor questions.

NEW SECTION

- WAC 388-71-0850 What is safety training? (1) Safety training is a training of three hours that includes basic safety precautions, emergency procedures, and infection control.
- (2) The department must approve safety training curricula and instructors.
 - (3) There is no challenge test for safety training.

NEW SECTION

- WAC 388-71-0855 What content must be included in safety training? Safety training consists of introductory information in the following areas:
- (1) Safety planning and accident prevention, including but not limited to:
 - (a) Proper body mechanics;
 - (b) Fall prevention;
 - (c) Fire safety;
 - (d) In-home hazards;
 - (e) Long-term care worker safety; and
 - (f) Emergency and disaster preparedness.
- (2) Standard precautions and infection control, including but not limited to:
 - (a) Proper hand washing;
- (b) When to wear gloves and how to correctly put them on and take them off;
 - (c) Basic methods to stop the spread of infection;

- (d) Protection from exposure to blood and other body fluids;
- (e) Appropriate disposal of contaminated/hazardous articles;
- (f) Reporting exposure to contaminated articles; and
- (g) What to do when sick or injured, including whom to report this to.
 - (3) Basic emergency procedures, including but not limited to:
 - (a) Evacuation preparedness;
 - (b) When and where to call for help in an emergency;
 - (c) What to do when a client is falling or falls;
- (d) Location of any advanced directives and when they are given; and
 - (e) Basic fire emergency procedures.

One hour of completed classroom instruction or other form of training (such as video or on-line course) equals one hour of training. The training entity must establish a way for the long-term care worker to ask the instructor questions.

NEW SECTION

WAC 388-71-0860 Who must complete orientation and safety training and by when? Unless exempted in WAC 388-71-0901, or the long-term care worker is a parent provider as described in WAC 388-71-0890, all long-term care workers must complete orientation and safety training prior to providing care to a client.

BASIC TRAINING

NEW SECTION

WAC 388-71-0870 What is basic training? (1) Basic training is seventy hours of training that includes:

- (a) Core competencies; and
- (b) Population specific competencies.
- (2) All basic training curriculum must be approved by the department and include qualified instructors.
- (3) The DSHS developed revised fundamentals of caregiving (RFOC) learner's guide may be used to teach core basic training but it must include enhancements which must be approved by the department. With the increase in training hours, more time must be allotted for skills practice and additional training materials and/or classroom activities that help a worker to thoroughly learn the course content and skills. This must be approved per WAC 388-71-1026.
 - (4) One hour of completed classroom instruction or other form

of training (such as a video or on-line course) equals one hour of training.

- (5) The training entity must establish a way for the long-term care worker to ask the instructor questions.
 - (6) There is no challenge test for basic training.

NEW SECTION

WAC 388-71-0875 Who must complete basic training and by when? Unless exempt from training in WAC 388-71-0901, all long-term care workers must complete core and population specific competencies within one hundred twenty days of:

- (1) The date of hire for home care agency long-term care workers; or
- (2) From the begin date of the authorization to provide department-paid in-home services for a client for individual providers.

NEW SECTION

WAC 388-71-0880 Who must take the thirty hour training instead of the seventy hour basic training and when must it be completed? The thirty hour basic training, as described in WAC 388-71-0885, must be completed within one hundred twenty days from the begin date of the authorization to provide department paid, in-home services by:

- (1) An individual provider caring only for his or her biological, step, or adoptive child or parent; and
 - (2) Until January 1, 2014, an individual provider who:
 - (a) Provides care to only one person; and
- (b) Provides no more than twenty hours of care in any calendar month.

NEW SECTION

WAC 388-71-0885 What is the thirty hour training? The thirty hour training is a subset of the seventy hour basic training that must include core and population specific basic training. Topics completed in the subset must be on topics relevant to the care needs of the client(s). There is no challenge test for the thirty hour training.

WAC 388-71-0890 What are the training requirements for parent providers who are individual providers for their adult children through DDD? A natural, step, or adoptive parent who is the individual provider for his or her adult child receiving services through the DSHS division of developmental disabilities must complete the twelve hour parent provider training, as described in WAC 388-71-0895, within one hundred twenty days from the begin date of the authorization to provide department paid, in-home services.

NEW SECTION

WAC 388-71-0895 What is the twelve hour parent provider training? (1) The twelve hour parent provider training must include the following topics:

- (a) Medicaid personal care;
- (b) Assessments completed by the division of developmental disabilities;
 - (c) Community resources;
 - (d) State and federal benefits;
 - (f) Networking; and
 - (g) Client self-determination.
 - (2) There is no challenge test for this training.

NEW SECTION

WAC 388-71-0901 What long-term care workers are exempt from the basic training requirement? The following long-term care workers are exempt from the basic training requirement:

- (1) A person employed as a long-term care worker on December 31, 2010, who completed prior to January 1, 2011, the basic training requirements in effect on the date of his or her hire;
- (2) A person employed as a long-term care worker on December 31, 2010, who completes within one hundred twenty days of hire, the basic training requirements in effect on the date of his or her hire;
- (3) A person previously employed as a long-term care worker prior to December 31, 2010, who completed prior to January 1, 2011, the basic training requirements in effect on the date of his or her hire, and was employed as a long-term care worker at some point during the calendar year 2010;
- during the calendar year 2010;

 (4) Registered nurses, licensed practical nurses, nurse technicians, or advanced registered nurse practitioner under chapter 18.79 RCW;
 - (5) Nursing assistants-certified under chapter 18.88A RCW;
 - (6) Certified counselors under chapter 18.19 RCW;
 - (7) Speech language pathologists or audiologists under chapter

18.35 RCW;

- (8) Occupational therapists under chapter 18.59 RCW;
- (9) Physical therapists under chapter 18.74 RCW;
- (10) A home health aide who is employed by a medicare-certified home health agency and has met the requirements of 42 CFR, Part 483.35;
- (11) An individual with special education training and an endorsement granted by the superintendent of public instruction as described in RCW 28A.300.010;
 - (12) Parent providers as described in WAC 388-71-0890;
 - (13) Providers described in WAC 388-71-0880; and
 - (14) Until January 1, 2014, an individual provider who:
 - (a) Provides care to only one person; and
- (b) Provides no more than twenty hours of care in any calendar month.

NEW SECTION

WAC 388-71-0906 What topics must be taught in the core competencies of basic training? Basic training must include all of the competencies under WAC 388-71-0911 for the following topics:

- (1) Communication skills;
- (2) Long-term care worker self-care;
- (3) Problem solving;
- (4) Client rights and maintaining dignity;
- (5) Abuse, abandonment, neglect, financial exploitation and mandatory reporting;
 - (6) Client directed care;
 - (7) Cultural sensitivity;
 - (8) Body mechanics;
 - (9) Fall prevention;
 - (10) Skin and body care;
 - (11) Long-term care worker roles and boundaries;
 - (12) Supporting activities of daily living;
 - (13) Food preparation and handling;
 - (14) Medication assistance;
 - (15) Infection control, blood-borne pathogens, HIV/AIDS; and
 - (16) Grief and loss.

NEW SECTION

WAC 388-71-0911 What are the competencies and learning objectives for the core competencies of basic training? The core competencies describe the behavior and skills that a long-term care worker should exhibit when working with clients. Learning objectives are associated with each competency.

(1) Regarding communication, communicate effectively and in a respectful and appropriate manner with clients, family members, and

care team members:

- (a) Recognize how verbal and nonverbal cues impact communication with the client and care team;
- (b) Engage and respect the client through verbal and nonverbal communication;
- (c) Listen attentively and determine that the client understands what has been communicated;
- (d) Recognize and acknowledge clients' communication including indicators of pain, confusion, or misunderstanding;
- (e) Utilize communication strategies to deal with difficult situations; and
- (f) Recognize common barriers to effective communication and identify how to eliminate them.
- (2) Regarding long-term care worker self-care, take appropriate action to reduce stress and avoid burnout:
- (a) Identify behaviors, practices and resources to reduce stress and avoid burnout;
- (b) Recognize common barriers to self-care and ways to overcome them; and
- (c) Recognize aspects of a long-term care worker's job that can lead to stress and burnout, common signs and symptoms of stress and burnout; and the importance of taking action to practice self-care to avoid burnout.
- (3) Regarding the competency of effective problem solving, use effective problem solving skills:
- (a) Explain why it is necessary to understand and utilize a problem solving method;
 - (b) Implement a problem solving process/method; and
- (c) Identify obstacles to effective problem solving and ways to overcome them.
- (4) Regarding the competency of client rights and dignity, take appropriate action to promote and protect a client's legal and human rights as protected by federal and Washington state laws including:
- (a) Protect a client's confidentiality, including what is considered confidential information, to whom a long-term care worker is allowed or not allowed to give confidential information, and how to respond if a noncare team member asks for confidential information;
- (b) Promote dignity, privacy, encourage, and support a client's maximum independence when providing care; and
- (c) Maintain a restraint-free environment, including physical, chemical, and environmental restraints. Use common, safe alternatives to restraint use;
- (d) Protect and promote the client's right to live free of abuse, neglect, abandonment, and financial exploitation.
- (5) Regarding the competency of abuse and mandatory reporting, recognize the signs of abuse and report suspected abuse, abandonment, neglect, and financial exploitation:
- (a) Describe long-term care workers' responsibilities as a mandatory reporter as defined in RCW 74.34.020 through 74.34.053; and
- (b) Identify common signs and symptoms of abuse, abandonment, neglect, and financial exploitation.
- (6) Regarding the competency of client directed care, take appropriate action when following a client's direction regarding

his or her care:

- (a) Describe a worker's role in client directed care including determining, understanding, and supporting a client's choices;
- (b) Describe the importance and impact of client directed care on a client's independence, self-determination, and quality of life;
- (c) Identify effective problem solving strategies that help balance a client's choice with personal safety; and
- (d) Report concerns when a client refuses care or makes choices that present a possible safety concern.
- (7) Regarding the competency of cultural sensitivity, provide culturally appropriate care:
- (a) Describe how cultural background, lifestyle practices, and traditions can impact care and use methods to determine and ensure that these are respected and considered when providing care.
- (8) Regarding the competency of body mechanics, utilize current best practices and evidence-based methods of proper body mechanics while performing tasks as outlined in the care plan.
- (9) Regarding the competency on fall prevention, prevent or reduce the risk of falls:
- (a) Identify fall risk factors and take action to reduce fall risks for a client; and
- (b) Take proper steps to assist when a client is falling or has fallen.
- (10) Regarding the competency of skin and body care, use personal care practices that promote and maintain skin integrity:
- (a) Explain the importance of observing a client's skin, when to observe it and what to look for including common signs and symptoms of skin breakdown;
 - (b) Identify risk factors of skin breakdown;
- (c) Observe skin at pressure point locations and report any concerns;
- (d) Describe what a pressure ulcer is, what it looks like, and what actions to take if a client develops a pressure ulcer;
- (e) Describe current best practices that protect and maintain a client's skin integrity including position changes when sitting or lying for extended periods and proper positioning and transfer techniques;
- (f) Implement current best practices that promote healthy skin including hygiene, nutrition, hydration, and mobility; and
 - (g) Identify when to report skin changes and to whom.
- (11) Regarding the competency on long-term care worker roles and boundaries, adhere to basic job standards, expectations, and requirements and maintain professional boundaries:
- (a) Identify when, how, and why to obtain information from appropriate sources about a client's condition or disease for which they are receiving services. Describe how to use this information to provide appropriate, individualized care;
- (b) Describe a client's baseline based on information provided in the care plan and explain why it is important to know a client's baseline;
- (c) Identify changes in a client's physical, mental, and emotional state;
- (d) Report changes from baseline and/or concerns to the appropriate care team member(s);
 - (e) Identify basic job standards and requirements (e.g. coming

to work on time) and describe how maintaining these standards are critical to a client's safety and well-being;

- (f) Explain the purpose of a care plan and describe how it is created, used and modified;
- (g) Use a client's care plan to direct a worker's job tasks and any client directed care tasks;
- (\dot{h}) Identify what is required of a long-term care worker, as described in WAC 388-71-0946, prior to performing a nurse-delegated task;
- (i) Describe the role of a care team and a long-term care worker's role in it;
- (j) Describe professional boundaries and the importance of maintaining them; and
- (k) Identify signs of unhealthy professional boundaries, barriers to keeping clear professional boundaries, and ways to avoid or eliminate them.
- (12) Regarding the competency on supporting activities of daily living, perform required personal care tasks to the level of assistance needed and according to current best practices and evidence-based guidelines:
- (a) Demonstrate, in the presence of a qualified instructor, all critical steps required for personal care tasks including but not limited to:
 - (i) Helping an individual walk;
 - (ii) Transferring an individual from bed to wheelchair;
 - (iii) Turning and repositioning an individual in bed;
 - (iv) Providing mouth care;
 - (v) Cleaning and storing dentures;
 - (vi) Shaving a face;
 - (vii) Providing fingernail care;
 - (viii) Providing foot care;
 - (ix) Providing a bed bath;
 - (x) Assisting an individual with a weak arm to dress;
 - (xi) Putting knee-high elastic stockings on an individual;
 - (xii) Providing passive range of motion for one shoulder;
- (xiii) Providing passive range of motion for one knee and ankle;
 - (xiv) Assisting an individual to eat;
 - (xv) Assisting with peri-care;
 - (xvi) Assisting with the use of a bedpan;
 - (xvii) Assisting with catheter care;
 - (xviii) Assisting with condom catheter care; and
 - (xix) Providing medication assistance.
- (b) In the process of performing the personal care tasks, use proper body mechanics, listen attentively, speak clearly and respectfully while explaining what the long-term care worker is doing, incorporate client preferences, maintain privacy and dignity, support the client's level of ability, and assure their comfort and safety;
- (c) Appropriately utilize assistive device(s) specified in the care plan;
- (d) Describe any safety concerns related to each task and how to address the concerns;
- (e) Demonstrate an understanding of bowel and bladder functioning, including factors that promote healthy bowel and bladder functioning, and the signs, symptoms, and common causes of

abnormal bowel and bladder function; and

- (f) Identify the importance of knowing a client's bowel and bladder functioning baseline and when to report changes.
- (13) Regarding the competency on food preparation and handling, plan and prepare meals using a basic knowledge of nutrition and hydration, incorporating any diet restrictions or modifications, and prevent food borne illness by preparing and handling food in a safe manner:
- (a) Describe how nutrition and hydration can impact a client's health;
- (b) Plan, shop, and prepare meals for a client according to the guidelines of good nutrition and hydration, incorporating any dietary requirements and restrictions per the care plan and client preferences;
- (c) Describe common signs of poor nutrition and hydration, and when to report concerns and to whom;
- (d) Understand that diet modification is required for certain health conditions, including dysphagia, and describe how to identify diet modifications required for a client;
- (e) Recognize when a client's food choices vary from specifications on the care plan, describe when and to whom to report concerns;
- (f) Describe what causes food borne illness, the risks associated with food borne illness and examples of potentially hazardous foods;
- (g) Describe appropriate food handling practices, including: avoiding cross contamination from one food to another, safe storage requirements for cooling of leftover foods, including depth, types of containers, and temperatures, the need to maintain food at proper temperatures to limit bacterial growth and what are the safe food storage and holding temperatures for both cold and hot foods, best practices for thawing and re-heating food, and using clean gloves (if possible), and clean utensils when preparing food;
- (h) Describe the importance and correct procedure for cleaning and disinfecting food contact surfaces; and
- (i) Describe why a long-term care worker with certain types of illnesses and/or symptoms must not prepare food.

Long-term care workers who complete DSHS approved basic training meet the training requirements for adult family homes in RCW 70.128.250.

- (14) Regarding the competency of medication assistance, appropriately assist with medications:
- (a) Identify what a long-term care worker is allowed and not allowed to do when assisting with medications as described in chapter 246-888 WAC;
- (b) Define terms related to medication assistance including prescription drugs, over the counter medications, and as needed (PRN) medications, medication side effects, and drug interactions;
- (c) Identify common symptoms of medication side effects and when and to whom to report concerns;
- (d) Store medications according to safe practices and the label instructions;
- (e) Describe, in the proper sequence, each of the five rights of medication assistance; and
- (f) Identify what to do for medication-related concerns, including describing ways to work with a client who refuses to take

medications, identifying when and to whom to report when a client refuses medication or there are other medication-related concerns, and identifying what is considered a medication error and when and to whom it must be reported.

- (15) Regarding the competency of infection control and blood borne pathogens including HIV/AIDS, implement best practices to prevent and control the spread of infections:
- (a) Identify commonly occurring infections, ways that infections are spread, and symptoms of infections;
- (b) Describe the purpose, benefit and proper implementation of standard precautions in infection control;
- (c) Implement current best practices for controlling the spread of infection, including the use of hand washing and gloves;
- (d) Demonstrate proper hand washing and putting on and taking off gloves;
- (e) Identify immunizations that are recommended for adults to reduce the spread of virus and bacteria;
- (f) Describe laundry and housekeeping measures that help in controlling the spread of infection;
- (g) Describe proper use of cleaning agents that destroy micro-organisms on surfaces;
- (h) Describe what blood-borne (BB) pathogens are and how they are transmitted;
- (i) Identify the major BB pathogens, diseases, and high-risk behaviors for BB diseases;
 - (j) Identify measures to take to prevent BB diseases;
- (k) Describe what to do if exposed to BB pathogens and how to report an exposure;
 - (1) Describe how HIV works in the body;
- (m) Explain that testing and counseling for HIV/AIDS is available;
 - (n) Describe the common symptoms of HIV/AIDS;
- (o) Explain the legal and ethical issues related to HIV including required reporting, confidentiality and nondiscrimination; and
- (p) Explain the importance of emotional issues and support for clients and long-term care workers.

Long-term care workers who complete DSHS-approved basic training meet the four hours of AIDS education as required by the department of health in WAC 246-980-040.

- (16) Regarding the competency on grief and loss, support yourself and the client in the grieving process:
 - (a) Define grief and loss;
- (b) Describe common losses a client and long-term care worker may experience;
 - (c) Identify common symptoms associated with grief and loss;
- (d) Describe why self-care is important during the grieving process; and
- (e) Identify beneficial ways and resources to work through feelings of grief and loss.

WAC 388-71-0916 What topics may be taught in the population specific competencies of basic training? Population specific training may include but is not limited to one or more of the following topics. Which topic(s) to include in population specific training is based on the needs of the population(s) served or to be served.

- (1) Dementia;
- (2) Mental health;
- (3) Developmental disabilities;
- (4) Young adults with physical disabilities; and
- (5) Aging and older adults.

NEW SECTION

WAC 388-71-0921 What are the population specific competencies? There are no DSHS mandatory competencies or learning objectives for population specific training. The training entity developing the training determines the competencies and learning objectives that best meet the care needs of the population(s) served.

Competencies and learning objectives described for developmental disability specialty training in WAC 388-112-0122, dementia specialty training in WAC 388-112-0132, mental health specialty training in WAC 388-112-0142, aging and older adults in WAC 388-112-0091 and young adults with physical disabilities in WAC 388-112-0083 may be used to develop the population specific training in these topic areas. This is not a requirement.

Competencies and learning objectives used to develop the training must be submitted with the curricula when sent to DSHS for approval as described in WAC 388-71-1026.

NEW SECTION

WAC 388-71-0931 What other methods of training may count towards the seventy hour basic training requirement? On-the-job training provided after July 1, 2011 may count towards the seventy hour basic training requirement.

NEW SECTION

WAC 388-71-0932 What is on-the-job training? (1) Effective July 1, 2011, on the job training is a method of training when the long-term care worker successfully demonstrates any or all of the personal care or infection control skills included in the core

basic training while working with a client versus in a practice training setting.

- (2) On-the-job training is provided by a qualified instructor as described in WAC 388-71-1055, who directly observes, coaches, and reinforces skills training for up to two long-term care workers at a time. The instructor providing the on-the-job training:
- (a) Does not have to be the instructor who has taught the core competency training;
- (b) Cannot be someone whose primary job duty is providing direct care to clients; or
- (c) Cannot be the immediate supervisor of the long-term care worker receiving the on-the-job training.
 - (3) The person overseeing on-the-job training must:
- (a) Submit DSHS required forms and become an approved instructor for the core competency of basic training; and
- (b) Verify on a DSHS approved skills checklist the long-term care worker's successful completion of the demonstrated skills.
- (4) For the person receiving on-the-job training, the hours spent in on the job training may count for up to twelve hours toward the completion of basic training requirements. It is not a requirement to include on-the-job training hours in the basic training hours.

NURSE DELEGATION CORE AND SPECIALIZED DIABETES TRAINING

NEW SECTION

- WAC 388-71-0936 What is nurse delegation core training? (1) Nurse delegation core training is the required course a nursing assistant, certified or registered, must successfully complete before being delegated a nursing task.
- (2) Only the curriculum developed by DSHS, "Nurse Delegation for Nursing Assistants" meets the training requirement for nurse delegation core training.
- (3) DSHS must approve the instructors for nurse delegation core training prior to an instructor offering a course.

NEW SECTION

WAC 388-71-0941 What is specialized diabetes nurse delegation training? (1) Specialized diabetes nurse delegation training is the required course for nursing assistants, certified or registered, who will be delegated the task of insulin injections.

- (2) The specialized diabetes nurse delegation training consists of three modules which are diabetes, insulin, and injections.
- (3) Only the curriculum developed by DSHS, "Nurse Delegation for Nursing Assistants: Special Focus on Diabetes" may be used for the specialized diabetes nurse delegation training.
- (4) DSHS approves the instructors for the specialized diabetes nurse delegation training prior to an instructor offering a course.

WAC 388-71-0946 Who is required to complete the nurse delegation core training, and when? Before performing any delegated task, a long-term care worker must:

- (1) Be a:
- (a) Certified home care aide and nursing assistant registered; or
 - (b) Nursing assistant certified under chapter 18.88A RCW; or
- (c) If exempt from the home care aide certification, become a nursing assistant registered and complete the basic training core competencies.
- (2) Successfully complete "Nurse Delegation for Nursing Assistants" training.

NEW SECTION

WAC 388-71-0951 Who is required to complete the specialized diabetes nurse delegation training, and when? Specialized diabetes nurse delegation training is required before a nursing assistant, certified or registered, who meets the qualifications in WAC 388-71-0946 may be delegated the task of insulin injections.

NEW SECTION

WAC 388-71-0953 Can nurse delegation core and specialized diabetes training occur in the same year as basic training? Nurse delegation core and specialized diabetes training can occur in the same year as basic training if required to be able to perform delegated tasks. If this occurs, the maximum of twelve hours for this training can be applied towards the continuing education requirement for the following year. Nurse delegation core and specialized diabetes trainings do not apply towards basic training.

WAC 388-71-0956 Is competency testing required for the nurse delegation core training and specialized diabetes training? Passing the DSHS competency test is required for successful completion of nurse delegation core training and specialized diabetes training, as provided in WAC 388-71-1106 through 388-71-1130.

ON-THE-JOB TRAINING

NEW SECTION

WAC 388-71-0970 What documentation is required for completion of each training? Orientation, safety, basic training, including core and population specific, the thirty hour training, the twelve hour parent provider training, on-the-job training, continuing education, and nurse delegation core and specialized diabetes training, must be documented by a certificate(s) or transcript of completion of training issued by a qualified instructor or qualified training entity that includes:

- (1) The name of the trainee;
- (2) The name of the training;
- (3) The number of hours of the training;
- (4) The name and/or identification number of the training entity. The training entity's identification number for basic core training is provided by the department and is issued by the department of health's contractor for the home care aide certification test;
- (5) The instructor's name. For basic core training, the instructor's name and identification number. The instructor's identification number of basic core training is provided by the department and is issued by the department of health's contractor for the home care aide certification test;
- (6) The instructor's signature or an authorized signature from the training entity the qualified instructor is training on behalf of: and
 - (7) The completion date of the training.

The long-term care worker must retain the original certificate or transcript for proof of completion of the training. A home care agency must keep a copy of the certificate or transcript on file.

NEW SECTION

- WAC 388-71-0973 What documentation is required for a long-term care worker to apply for the home care aide certification or recertification? (1) Successful completion of seventy-five hours of training must be documented on a DSHS seventy-five hour training certificate by an approved training entity who has provided or verified that a total of seventy-five hours of training has occurred.
- (2) An approved training entity issuing and signing a DSHS seventy-five hour training certificate must verify that the long-term care worker has the certificates or transcript required documenting two hours of DSHS-approved orientation, three hours of DSHS-approved safety training, and seventy hours of DSHS-approved basic training, as described in this chapter. Only a DSHS or training partnership seventy-five hour training certificate can be submitted by a long-term care worker applying to the department of health for a home care aide certification.
- (3) For home care aide recertification, successful completion of twelve hours of DSHS-approved continuing education training must be documented on a DSHS certificate issued by an approved training entity who has provided all twelve hours of continuing education training. If all twelve hours of continuing education were not provided by the same training entity, then an approved training entity must verify that the certified home care aide has certificates or transcripts that add up to twelve hours of DSHS-approved continuing education. Only a DSHS or training partnership twelve-hour continuing education certificate can be submitted by a certified home care aide applying to the department of health for recertification.
- (4) The long-term care worker and certified home care aide must retain the original seventy-five hour training certificate and any twelve-hour continuing education training certificates as long as they are employed and up to three years after termination of employment. Training entities must keep a copy of these certificates on file for six years.

HOME CARE AIDE CERTIFICATION

NEW SECTION

WAC 388-71-0975 Who is required to obtain certification as a home care aide, and when? All long-term care workers, who do not fall within the exemptions under the department of health WAC 246-980-070, must obtain certification within one hundred and fifty days of hire or begin date of the authorization to provide department paid in-home services effective January 1, 2011.

WAC 388-71-0980 Can a home care agency employ a long-term care worker who has not completed the training and/or certification requirements? A home care agency cannot employ an individual to work as a long-term care worker if the individual has previously worked as a long-term care worker and has not completed applicable training and/or certification requirements within the required timeframe. Such individual may be employed by a home care agency to work as a long-term care worker only after applicable training and/or certification requirements are met. The department is authorized by RCW 74.39A.085 to take enforcement action for noncompliance related to training and/or certification requirements.

CONTINUING EDUCATION

NEW SECTION

WAC 388-71-0985 What is continuing education? Continuing education is additional caregiving-related training designed to keep current a person's knowledge and skills. DSHS must approve continuing education curricula and instructors. The same continuing education course may not be repeated for credit unless it is a new or more advanced training on the same topic. Nurse delegation core and nurse delegation specialized diabetes training may be used to count towards continuing education.

NEW SECTION

WAC 388-71-0990 How many hours of continuing education are required each year? (1) Until June 30, 2011, individual providers and home care agency long-term care workers must complete ten hours of continuing education each calendar year after the year in which they complete basic training. If the ten hours of continuing education were completed between January 1, 2011 and June 30, 2011, then the continuing education requirements have been met for 2011.

- (2) Effective July 1, 2011, certified home care aides must complete twelve hours of continuing education each calendar year after obtaining certification as described in department of health WAC 246-980-110 and 246-112-020(3).
- (3) If exempt from certification as described in RCW 18.88B.040, all long-term care workers must complete twelve hours

of continuing education per calendar year unless exempt from continuing education as described in WAC 388-71-1001.

- (4) A long-term care worker or certified home care aide who did not complete the continuing education requirements by the timeframe described in WAC 388-71-0991 cannot be paid to provide care after that date and cannot be reinstated as a long-term care worker until they complete the continuing education requirements.
- (5) One hour of completed classroom instruction or other form of training (such as a video or on-line course) equals one hour of continuing education. The training entity must establish a way for the long-term care worker to ask the instructor questions.

NEW SECTION

- WAC 388-71-0991 When must a long-term care worker or certified home care aide complete continuing education? (1) Effective January 1, 2011 and for the year 2011, a long-term care worker must complete the continuing education requirements described in WAC 388-71-0990 by their birthday:
- (a) A long-term care worker whose birthday occurs between January 1, 2011 and June 30, 2011, must complete the continuing education requirement by June 30, 2011.
- (b) A long-term care worker whose birthday occurs on or after July 1, 2011, must complete the continuing education requirement by their birthday.
- (2) Effective January 1, 2012, all long-term care workers and certified home care aides must complete the continuing education requirements described in WAC 388-71-0990 by their birthday.
- (3) For long term care workers who are required to be certified, if the first renewal period is less than a full year from the date of certification, no continuing education will be due for the first renewal period.

NEW SECTION

WAC 388-71-1001 What long-term care workers are exempt from the continuing education requirement? Unless voluntarily certified as a home care aide, continuing education is not required for:

- (1) Individual providers caring only for his or her biological, step, or adoptive son or daughter; and
 - (2) Before June 30, 2014, an individual provider who:
 - (a) Provides care to only one person; and
- (b) Provides no more than twenty hours of care in any calendar month.

WAC 388-71-1006 What kinds of training topics may be covered in continuing education? Continuing education must be on a topic relevant to the care setting, care needs of clients, or long-term care worker career development. Topics may include but are not limited to:

- (1) Client rights;
- (2) Personal care services;
- (3) Mental illness;
- (4) Dementia;
- (5) Developmental disabilities;
- (6) Depression;
- (7) Medication assistance;
- (8) Communication skills;
- (9) Positive client behavior support;
- (10) Developing or improving client-centered activities;
- (11) Dealing with wandering;
- (12) Dealing with challenging client behaviors;
- (13) Medical conditions; and
- (14) Nurse delegation core and specialized diabetes.

CURRICULUM APPROVAL

NEW SECTION

- WAC 388-71-1021 What trainings must be taught with a curriculum approved by DSHS? (1) Orientation, safety, on-the-job, basic training (core and population specific training), the thirty hour basic training, the twelve hour parent provider training, and continuing education must be taught with a curriculum approved by DSHS before use.
- (2) The nurse delegation core and diabetes training must use only the DSHS curriculum.

NEW SECTION

- WAC 388-71-1026 What must be submitted to DSHS for curriculum approval? DSHS developed curriculum(s) do not require submission to the department for approval unless the curriculum is being modified in any manner by the training entity.
 - (1) For orientation and/or safety training:
- (a) Effective January 1, 2011, submit an outline of what will be covered in each training offered (for example, a table of

contents or a class syllabus) showing that the required introductory topics as listed in WAC 388-71-0846 for orientation and WAC 388-71-0855 for safety training are covered in the training. Department required orientation and safety training application forms must be submitted to the department at least forty-five days in advance of when the training is expected to be offered. Training cannot be offered before receiving department curriculum and instructor approval.

- (2) For continuing education:
- (a) Effective July 1, 2011, submit an outline of what will be covered in the training (for example, a table of contents or the class syllabus), the number of training hours, and a description of how the training is relevant to the care setting, care needs of the client, or long-term care worker career development. Department required continuing education training application forms must be submitted at least forty-five days in advance of when the training is expected to be offered. The trainings cannot be offered before receiving department curriculum and instructor approval.
- (3) For basic training, the thirty hour basic training, and the twelve hour parent provider training:
- (a) If the instructor or training entity wants to use the DSHS developed revised fundamentals of caregiving learner's guide with enhancements, submit the DSHS required form with all required information. The following must be submitted to DSHS for approval of the seventy hours required for basic training, for the thirty hour basic training, and the twelve hour parent provider training. Curricula must be submitted to DSHS for approval of one or both sections (core competencies and population specific competencies) of the seventy hours required for basic training, for the thirty hour basic training, and for the twelve hour parent provider training. When submitting one or both sections of the basic training curriculum for DSHS approval, it must at a minimum include:
- (i) A completed DSHS curriculum checklist indicating where all of the competencies and learning objectives, described in this chapter, are located in the long-term care worker materials from the proposed curriculum for that course;
- (ii) Any materials long-term care workers will receive, such as a textbook or long-term care worker manual, learning activities, audio-visual materials, handouts and books;
- (iii) The table of contents or outline of the curriculum including the allotted time for each section;
- (iv) Demonstration skills checklists for the personal care tasks described in WAC 388-71-0911(12)(a) and (b), and infection control skills (hand washing and putting on and taking off gloves);
- (v) The teacher's guide or manual that includes for each section of the curriculum:
 - (A) The goals and objectives;
- (B) How that section will be taught including teaching methods and learning activities that incorporate adult learning principles;
- (C) Methods instructors will use to determine whether each long-term care worker understands the material covered and can demonstrate all skills;
- (D) A list of sources or references, that were used to develop the curriculum. If the primary source or reference is not a published citation, the instructor must provide detail on how the

content was established as evidence based:

- (E) Description of how the curriculum was designed to accommodate long-term care workers with limited English proficiency and/or learning disabilities; and
- (F) Description and proof of how input was obtained from consumers and long-term care worker representatives in the development of the curriculum.
- (vi) In addition, for curricula being submitted for the core competency section of the basic training as described in WAC 388-71-0911, the curriculum must include how much time long-term care workers will be given to practice skills and how instructors will evaluate and ensure each long-term care worker can proficiently complete each skill.
- (vii) Entities submitting curriculum for population specific basic training must submit their own list of competencies and learning objectives used to develop the population specific basic training curriculum.

NEW SECTION

- WAC 388-71-1031 What is the curriculum approval process for orientation, safety, basic training (core and population specific training), the thirty hour basic training, the twelve hour parent provider training, and continuing education? (1) Submit the required training application forms and any other materials required for specific curriculums to the department.
- (2) After review of the curriculum, DSHS will send a written response to the submitter, indicating approval or disapproval of the curriculum(s).
- (3) If curriculum(s) are not approved, the reason(s) for denial will be given and the submitter will be told what portion(s) of the training must be changed and resubmitted for review in order for the curriculum to be approved.
- (4) The submitter can make the requested changes and resubmit the curriculum(s) for review.
- (5) If after working with the department the reasons why the curriculum is not approved cannot be resolved, the submitter may seek review of the nonapproval decision from the assistant secretary of aging and disability services administration. The assistant secretary's review decision shall be the final decision of DSHS; no other administrative review is available to the submitter.

INSTRUCTOR QUALIFICATIONS, APPROVAL, AND RESPONSIBILITIES

- WAC 388-71-1045 What are a training entity's responsibilities? The training entity is responsible for:
 - (1) Coordinating and teaching classes;
- (2) Assuring that the curriculum used is DSHS-approved and taught as designed;
- (3) Selecting and monitoring qualified guest speakers, where applicable;
- (4) Administering or overseeing the administration of the DSHS competency tests for nurse delegation core and specialized diabetes trainings;
- (5) Maintaining training records including long-term care worker tests and attendance records for a minimum of six years;
- (6) Reporting training data to DSHS in DSHS-identified timeframes; and
- (7) Issuing or reissuing training certificates to long-term care workers.

NEW SECTION

- WAC 388-71-1050 Must training entities and their instructors be approved by DSHS? All training entities and their instructor(s) for orientation, safety, and continuing education must meet the minimum qualifications under WAC 388-71-1060. All instructors for basic training (core and population specific training), on-the-job training, nurse delegation core training and nurse delegation specialized diabetes training must meet the minimum qualifications under WAC 388-71-1055.
- (1) DSHS must approve and/or contract with a training entity and their instructor(s) to conduct orientation, safety, basic training (core and population specific training), nurse delegation core training and nurse delegation specialized diabetes training, on-the-job training, and continuing education. DSHS may contract with training entities and their instructor(s) using any applicable contracting procedures.
- (2) The training partnership must ensure that its instructors meet the minimum qualifications under this chapter.

NEW SECTION

WAC 388-71-1055 What are the minimum qualifications for an instructor of basic training (core and population specific training), on-the-job training, nurse delegation core training, and nurse delegation specialized diabetes training? An instructor for basic training (core and population specific training), on-the-job training, nurse delegation core training, and nurse delegation specialized diabetes training must meet the following minimum

qualifications:

- (1) General qualifications:
- (a) Twenty-one years of age; and
- (b) Has not had a professional health care, adult family home, boarding home, or social services license or certification revoked in Washington state.
 - (2) Education and work experience:
 - (a) Upon initial approval or hire, an instructor must:
- (i) Be a registered nurse with work experience within the last five years with the elderly or persons with disabilities requiring long-term care in a community setting; or
- (ii) Have an associate degree or higher degree in the field of health or human services and six months of professional or caregiving experience within the last five years in an adult family home, boarding home, supported living through DDD, or home care setting; or
- (iii) Have a high school diploma, or equivalent, and one year of professional or caregiving experience within the last five years in an adult family home, boarding home, supported living through DDD, or home care setting.
 - (3) Teaching experience:
- (a) Must have one hundred hours of teaching adults in a classroom setting on topics directly related to the basic training; or
- (b) Must have forty hours of teaching while being mentored by an instructor who meets these qualifications, and must attend a class on adult education that meets the requirements in WAC 388-71-1066.
- (4) The instructor must be experienced in caregiving practices and capable of demonstrating competency with respect to teaching the course content or units being taught;
- (5) Instructors who will administer tests must have experience or training in assessment and competency testing; and
- (6) An instructor for nurse delegation core and specialized diabetes trainings must have a current Washington state RN license in good standing without practice restrictions.

NEW SECTION

WAC 388-71-1060 What are the minimum qualifications for an instructor of orientation, safety, and continuing education? An instructor of orientation, safety, and continuing education must be a registered nurse or other person with specific knowledge, training, and work experience in the provision of direct, hands-on personal care or other relevant services to the elderly or persons with disabilities requiring long-term care.

WAC 388-71-1066 What must be included in a class on adult education? A class on adult education must include content, student practice, and evaluation of student skills by the instructor in:

- (1) Adult education theory and practice principles;
- (2) Instructor facilitation techniques;
- (3) Facilitating learning activities for adults;
- (4) Administering competency testing; and
- (5) Working with adults with special training needs (for example, English as a second language or learning or literacy issues).

NEW SECTION

WAC 388-71-1076 What is a guest speaker, and what are the minimum qualifications to be a guest speaker? (1) A guest speaker is a person selected by an approved instructor to teach on a specific topic. A guest speaker:

- (a) May only teach a specific subject in which he or she has expertise, background, and experience that establishes his or her expertise on that specific topic;
 - (b) May not teach the entire course;
- (c) Must not supplant the primary teaching responsibilities of the instructor; and
- (d) Must cover the DSHS competencies and learning objectives for the topic he or she is teaching.
 - (2) The approved instructor:
- (a) Must ensure the guest speaker meets these minimum qualifications;
- (b) Maintain documentation of the guest speaker's qualifications and background;
 - (c) Supervise and monitor the quest speaker's performance; and
- (d) Is responsible for ensuring the required content is taught.
 - (3) DSHS does not approve guest speakers.

NEW SECTION

WAC 388-71-1081 What are the requirements for the training partnership to conduct training? (1) The training partnership must:

- (a) Verify, document using the department's attestation process, keep on file, and make available to the department upon request, that all instructors meet the minimum instructor qualifications in WAC 388-71-1055 and 388-71-1060 for the course they plan to teach;
 - (b) Teach using a complete DSHS-developed or approved

curriculum:

- (c) When requested by DSHS, notify DSHS in writing of their intent to conduct training prior to providing training, when changing training plans, including:
- (i) Name and schedule of training(s) the partnership will conduct;
- (ii) Name of approved curriculum(s) the partnership will use; and
- (iii) Name of the instructor(s) for only the core basic training.
- (d) Ensure that DSHS competency tests are administered when conducting nurse delegation core or specialized diabetes training;
- (e) Keep a copy of long-term care worker certificates on file for six years and give the original certificate to the trainee;
- (f) Keep attendance records and testing records of long-term care workers trained and tested on file for six years; and
- (g) Report training data to DSHS when requested by the department.
- (2) The department may conduct a random audit at any time to review training and instructor qualifications.

NEW SECTION

WAC 388-71-1083 Must the department verify that training entities and their community instructors meet the minimum instructor qualifications? The department through its contracting process must verify that the community instructors meet the minimum qualifications as described in WACs 388-71-1055 and 388-71-1060. The department will conduct random audits of the training provided and of the instructor qualifications.

PHYSICAL RESOURCES AND STANDARD PRACTICES FOR TRAINING

NEW SECTION

WAC 388-71-1091 What physical resources are required for classroom training and testing? (1) Classroom facilities used for classroom training must be accessible to trainees and provide adequate space for learning activities, comfort, lighting, lack of disturbance, and tools for effective teaching and learning, such as white boards and flip charts. Appropriate supplies and equipment must be provided for teaching and practice of caregiving skills in the class being taught.

(2) Testing sites for nurse delegation core and specialized

diabetes training must provide adequate space for testing, comfort, lighting, lack of disturbance appropriate for the written or skills test being conducted. Appropriate supplies and equipment necessary for the particular test must be provided.

NEW SECTION

WAC 388-71-1096 What standard training practices must be maintained for classroom training and testing? The following training standards must be maintained for classroom training and testing:

- (1) Training must not exceed eight hours within one day;
- (2) Training provided in short time segments must include an entire unit, skill, or concept;
 - (3) Training must include regular breaks; and
- (4) Long-term care workers attending classroom training must not be expected to leave the class to attend job duties, except in an emergency.

COMPETENCY TESTING FOR NURSE DELEGATION CORE AND SPECIALIZED DIABETES TRAINING

NEW SECTION

WAC 388-71-1106 What components must competency testing include? Competency testing must include the following components:

- (1) Skills demonstration of ability to perform and/or implement specific caregiving approaches, and/or activities as appropriate to the training;
- (2) Written evaluation to show knowledge of the learning objectives included in the training; and
- (3) A scoring guide for the tester with clearly stated scoring criteria and minimum proficiency standards.

NEW SECTION

WAC 388-71-1111 What experience or training must individuals have to be able to perform competency testing? Individuals who perform competency testing must have documented experience or training in assessing competencies.

WAC 388-71-1120 How must competency test administration be standardized? To standardize competency test administration, testing must include the following components:

- (1) An instructor for the course who meets all minimum qualifications for the course he or she teaches must oversee all testing; and
 - (2) The tester must follow the DSHS guidelines for:
 - (a) The maximum length of time allowed for the testing;
- (b) The amount and nature of instruction given long-term care workers before beginning a test;
- (c) The amount of assistance to long-term care workers allowed during testing;
- (d) The accommodation guidelines for long-term care workers with disabilities; and
- (e) Accessibility guidelines for long-term care workers with limited English proficiency.

NEW SECTION

WAC 388-71-1125 What form of identification must long-term care workers show before taking a competency test? Long-term care workers must show photo identification before taking a competency test.

NEW SECTION

WAC 388-71-1130 How many times may a competency test be taken? For the trainings under WAC 388-71-0936 and 388-71-0941, competency testing may be taken twice. If the test is failed a second time, the person must retake the course before taking the test for that course again.

REP<u>EALER</u>

The following sections of the Washington Administrative Code are repealed:

WAC	388-71-05665	What definitions apply to WAC
T.7.7. C	200 81 05680	388-71-05670 through 388-71-05909?
	388-71-05670	What is orientation?
WAC	388-71-05675	What content must be included in an
~		orientation?
WAC	388-71-05680	Is competency testing required for
		orientation?
WAC	388-71-05685	Is there a challenge test for
		orientation?
WAC	388-71-05690	What documentation is required for
		orientation?
WAC	388-71-05695	Who is required to complete
		orientation, and when must it be
		completed?
WAC	388-71-05700	What is basic training?
WAC	388-71-05705	Is there an alternative to the
		basic training for some health care
		workers?
WAC	388-71-05710	What core knowledge and skills must
		be taught in basic training?
WAC	388-71-05715	Is competency testing required for
		basic training?
WAC	388-71-05720	Is there a challenge test for basic
		training?
WAC	388-71-05725	What documentation is required for
		successful completion of basic
		training?
WAC	388-71-05730	Who is required to complete basic
	72 00700	training, and when?
WAC	388-71-05735	What is modified basic training?
	388-71-05740	What knowledge and skills must be
W110	300 /1 03/10	included in modified basic
		training?
WAC	388-71-05745	Is competency testing required for
W110	300 71 03713	modified basic training?
WZC	388-71-05750	Is there a challenge test for
WAC	300 71 03730	modified basic training?
WZC	388-71-05755	What documentation is required for
WAC	300 /1 03/33	successful completion of modified
		basic training?
WV C	388-71-05760	Who may take modified basic
WAC	300 71 03700	training instead of the full basic
		training instead of the full basic training?
TAT 7\ C	388-71-05765	What are the training requirements
WAC	366-71-03763	and exemptions for parents who are
		individual providers for their
		adult children receiving services
TAT 70 CT	200 71 05770	through DDD?
WAC	388-71-05770	What are the training requirements
		and exemptions for parents who are
		individual providers for their
		adult children who do not receive
T.77 ~	200 71 05775	services through DDD?
	388-71-05775	What is continuing education?
WAC	388-71-05780	How many hours of continuing
		education are required each year?

WAC 388-71-05785	What kinds of training topics are required for continuing education?
WAC 388-71-05790	Is competency testing required for continuing education?
WAC 388-71-05795	May basic or modified basic training be completed a second time and used to meet the continuing education requirement?
WAC 388-71-05799	What are the documentation requirements for continuing education?
WAC 388-71-05805	What is nurse delegation core training?
WAC 388-71-05810	What knowledge and skills must nurse delegation core training include?
WAC 388-71-05815	Is competency testing required for nurse delegation core training?
WAC 388-71-05820	Is there a challenge test for nurse delegation core training?
WAC 388-71-05825	What documentation is required for successful completion of nurse delegation core training?
WAC 388-71-05830	Who is required to complete nurse delegation core training, and when?
WAC 388-71-05832	What is safety training?
WAC 388-71-05835	What is competency testing?
WAC 388-71-05840	What components must competency
	testing include?
WAC 388-71-05845	What experience or training must individuals have to be able to perform competency testing?
WAC 388-71-05850	What training must include the DSHS-developed competency test?
WAC 388-71-05855	How must competency test administration be standardized?
WAC 388-71-05860	What form of identification must providers show a tester before taking a competency or challenge test?
WAC 388-71-05865	How many times may a competency test be taken?
WAC 388-71-05870	What are an instructor's or training entity's responsibilities?
WAC 388-71-05875	Must instructors be approved by DSHS?
WAC 388-71-05880	Can DSHS deny or terminate a contract with an instructor or training entity?
WAC 388-71-05885	What is a guest speaker, and what are the minimum qualifications to be a guest speaker for basic training?
WAC 388-71-05890	What are the minimum qualifications for an instructor for basic, modified basic or nurse delegation

WAC 388-71-05895	core and specialized diabetes training? What additional qualifications are required for instructors of nurse delegation core training and specialized diabetes nurse delegation training?
WAC 388-71-05899	What must be included in a class on adult education?
WAC 388-71-05905	What physical resources are required for basic, modified basic, or nurse delegation core classroom training and testing?
WAC 388-71-05909	What standard training practices must be maintained for basic, modified basic, or nurse delegation core classroom training and testing?
WAC 388-71-0801	What is specialized diabetes nurse delegation training?
WAC 388-71-0806	What knowledge and skills must specialized diabetes nurse delegation training include?
WAC 388-71-0811	Is competency testing required for the specialized diabetes nurse delegation training?
WAC 388-71-0816	Is there a challenge test for specialized diabetes nurse delegation training?
WAC 388-71-0821	What documentation is required for successful completion of specialized diabetes nurse delegation training?
WAC 388-71-0826	Who is required to complete the specialized diabetes nurse delegation training, and when?